

"CHECK BEFORE YOU INVEST"

BEFORE investing your money, ask the person/company who is offering services (legal, investment, insurance, financial, etc.) to complete this form and leave it with you.

What product(s) are you offering?: (Check all that apply)

<input type="checkbox"/> Insurance	Type: _____	<input type="checkbox"/> Annuities	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Investment	Type: _____	<input type="checkbox"/> Securities	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Legal Services	Type: _____	<input type="checkbox"/> Living Trust	<input type="checkbox"/> Estate Planning Other: _____
<input type="checkbox"/> Investment Advice	Type: _____	<input type="checkbox"/> Financial Planning	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Financial	Type: _____	<input type="checkbox"/> Accountant	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Other:	_____		

Who regulates or licenses this product/service?

<input type="checkbox"/> NJ Bureau of Securities	<input type="checkbox"/> NJ Real Estate Commission
<input type="checkbox"/> NJ Dept. of Bank and Insurance	<input type="checkbox"/> NJ Board of Accountancy
<input type="checkbox"/> Other (please identify/explain): _____	<input type="checkbox"/> The Supreme Court of NJ

What license(s) do you hold that authorizes you to sell this product/service?

<u>LICENSE TYPE</u>	<u>LICENSE INFORMATION</u>
Insurance License	License No: _____
Securities (Broker) License	CRD No. _____
Investment Adviser Attorney	IARD No: _____ Licensed With: <input type="checkbox"/> Bureau of Securities <input type="checkbox"/> SEC Supreme Court No: _____
Accountant License	CPA No: _____
Real Estate License	License No: _____
Other	Explain: _____

Seller/Agent Information

Seller/Agent Name: _____
Company/Business Name: _____
Company/Business Address: _____
Phone Number: _____ Today's Date: _____

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Helpful tips on how to become a more knowledgeable consumer.

Once the information on the front side of this form has been filled out and left with you, call the appropriate agency to confirm that the person/company holds a valid license (i.e. is **both** licensed and authorized to sell/offer the product to you) **BEFORE** you **INVEST** your money with anyone.

The NJ Bureau of Securities

<http://www.njsecurities.gov>

AskBureauOfSecurities@dca.lps.state.nj.us

P.O. Box 47029

Newark, NJ 07101

Telephone: 973-504-3600

or toll-free 1(866)- 446-8378

1(866) I-Invest

Fax: 1(973) 504-3601

The NJ Department of Banking and Insurance

<http://www.state.nj.us/dobi/insmnu.shtml>

Insurance Licensing

Telephone Number: 609-292-4337

New Jersey Real Estate Commission

240 West State Street

P.O. Box 328

Trenton, New Jersey 08625-0328

realestate@dobi.state.nj.us

Fax Number: (609) 292-0944

<http://www.state.nj.us/dobi/remnu.shtml>

NJ Board of Accountancy

124 Halsey Street, 6th Floor

P.O. Box 45000

Newark, N.J. 07101

(973) 504-6380.

<http://www.njconsumeraffairs.gov/nonmedical/accountant.htm>

A knowledgeable consumer is a **“safe”** consumer.
Don't invest until you investigate.